# Simple Measurement of Eye Diagram and BER Using High-Speed Asynchronous Sampling

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Abstract—This paper discusses eye diagram measurement using asynchronous sampling. Simple bit error rate (BER) estimation from eye diagrams is performed. The use of high-speed asynchronous optoelectrical (OE) sampling enables the monitoring of fixed timing Q-factors to be performed simply.

*Index Terms*—Asynchronous sampling, bit error rate (BER) estimation, eye diagrams, optoelectrical (OE) sampling, Q-factor, signal quality monitoring.

#### I. INTRODUCTION

**S** IGNAL quality monitoring is an important issue in optical transport networks (OTNs) and should satisfy several general requirements [1]-[3]. There are several approaches for this purpose including both digital and analog techniques [1], [4]. In the schemes developed so far, the key weakness is that it takes too long to measure even moderate levels of the system bit error ratio (BER). Solutions include synchronous sampling for fixed timing Q-factor  $(Q_t)$  measurement [5]–[9] and asynchronous sampling for averaged Q-factor  $(Q_{avg})$  measurement [10]–[13] or  $Q_t$  measurement [14]. The fixed timing Q-factor  $Q_t$  is the Q-factor at the fixed timing of t as discerned in open eye diagrams. Asynchronous sampling dispenses with timing extraction, so asynchronous sampling techniques are transparent to the bit rate and signal format. However, a correlation factor or complicated software calculations are needed to obtain the BER. Moreover, electrical and optical sampling techniques used in all such schemes reported to date are expensive and complicated.

This paper precisely discusses a simple  $Q_t$  monitoring method that we previously proposed [15] that utilizes the open eye diagrams captured by asynchronous sampling. In Section II, a setting procedure for the local sampling clock frequency and the influence of sampling clock frequency inaccuracy and signal wander for high-speed sampling are discussed. Then, a signal quality monitoring circuit using an optoelectrical (OE) sampling technique is described in Section III. Finally, the experimental results and a discussion of the results are presented in Section IV.

The BER is easily and accurately obtained from  $Q_t$ . We introduce a  $Q_t$  measurement procedure and a simple signal quality monitoring circuit that employs a high-speed asynchronous optoelectrical sampling technique for bit rates of 10 Gb/s. OE sampling allows the optical signal to be gated by an electrical pulse. We use an electroabsorption (EA) modulator as the sampling device. OE sampling makes it possible to achieve simple high-speed sampling, which realizes  $Q_t$  evaluation using a simple circuit and simple software calculations.

## II. EYE DIAGRAM MEASUREMENT WITH ASYNCHRONOUS SAMPLING

#### A. Setting of Local Sampling Clock Frequency

Here, we discuss eye diagram measurement using the asynchronous sampling technique. We discuss the setting of the local sampling clock frequency  $f_c$  in detail. The repetition frequency of  $f_c$  is determined based only on the number  $(n/m)f_s$ , which is related to the optical signal bit rate,  $f_s$ , and is not made to follow the bit phase of the optical signal using clock extraction or the like. For example, cases in which the optical signal bit rate is 2.5, 10, or 40 Gb/s are considered. In these cases, if 100 MHz, a common measure of these bit rates, is assumed as the information required to determine the repetition frequency of the sampling clock,  $f_c$  can be determined and set to 100 MHz  $\pm a$  Hz, where a is the offset frequency. In other words, if we set the sampling clock frequency to 100 MHz  $\pm a$  Hz, which is known in advance as information concerning the signal bit rate, the sampling system can be applied to signals whose bit rate is a common multiple of 100 MHz. In another case, we can certainly assume some knowledge of  $f_s$  such as the data format (e.g., SONET/SDH, OTN (digital wrapper), Ethernet, etc.) since such information is relatively easy to obtain. Moreover, it is possible to set  $f_c$  without such information concerning  $f_s$  as long as we can sweep and adjust  $f_c$  to ensure that the measured eye diagrams are open.

Regarding the display of the eye diagrams, the sampled data can be displayed on a display device without alteration, in the order in which the data were sampled. In such a case, instead of arranging every sampled point in a time series, the sampled points may be superposed from time zero over a specified interval. An eye-diagram can be displayed by repeating this process for every sampled point. The superposition period is described below. Here, a case is described in which the bit rate of the data signal is  $f_s$ , and the repetition frequency  $f_c$  of the sampling pulse is represented by

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where n and m are natural numbers, and a is the offset frequency. In the conventional synchronous sampling technique,

 $f_c = \frac{n}{m} f_s \pm a$ 

(1)

 $f_c$  is determined through hardware synchronization with  $f_s$  and by satisfying

$$T_{\text{step}} = \frac{1}{f_c} - \frac{1}{\left(\frac{n}{m}\right)f_s} = \frac{1}{kf_s} \tag{2}$$

where  $T_{\text{step}}$  is the sampling time interval and k is the number of sampling points per time slot of the signal. From (2),  $f_c$  is given as

$$f_c = \frac{f_s}{\frac{1}{k} + \frac{m}{n}}.$$
(3)

Intensity

Comparing (1) to (3), a is determined as

$$a = \frac{\left(\frac{n}{m}\right)^2}{k + \left(\frac{n}{m}\right)} f_s.$$
 (4)

If we use the asynchronous sampling technique, a is not accurately determined, and will satisfy the following condition

$$\frac{\left(\frac{n}{m}\right)^2}{k + \left(\frac{n}{m}\right)} f_s \le a < \frac{\left(\frac{n}{m}\right)^2}{k - 1 + \left(\frac{n}{m}\right)} f_s \tag{5}$$

where k is a natural number. Here, n/m is a value pertaining to the ratio between  $f_s$  and  $f_c$ . For example, if n/m is 1/100 and  $f_s$  is 10 Gb/s,  $f_c$  is set to approximately 100 MHz, showing that the sampling frequency is such that one sampled point is obtained for approximately every 100 bits of the data signal. Furthermore, k is a value relating to the superposition period, indicating that sampled points are superposed in units of k. As an example, plot examples of points P1 to P8 each corresponding to a section of the sampled data are described below for a case where  $f_c = (n/m)f_s - a$ , with reference to Fig. 1(a), (b), and (c). Fig. 1(a) is a diagram showing the waveform of a data signal [although only points P1 to P5 are shown in Fig. 1(a)]. Fig. 1(b) and (c) are diagrams showing plot examples. The offset frequency, a, should satisfy (5), and Fig. 1(c) is a particular case when a satisfies (4).

Generally, we should consider when

$$a \neq \frac{\left(\frac{n}{m}\right)^2}{k + \left(\frac{n}{m}\right)} f_s. \tag{6}$$

Furthermore, in this example, the variables satisfy n/m = 1and k = 4.

In the case above, the value of offset frequency  $a(=f_s - f_c)$  is within the range of

$$\frac{1}{5}f_s \le a < \frac{1}{4}f_s. \tag{7}$$

In the other words, if we set  $T_{\text{step}}(=(1/f_c)-(1/f_s))$ 

$$\frac{1}{4f_s} \le T_{\text{step}} < \frac{1}{3f_s}.$$
(8)

 $T_{\text{step}}$  is set to a value greater than 1/4 and less than 1/3 of one timeslot which is the reciprocal of  $f_s$ . The waveform within one timeslot is reproduced by arranging points P1 to P4 in order [Fig. 1(b)]. In this example, point P5 is not plotted in a position



Fig. 1. (a) Signal waveform and sampling examples. (b) Diagrams showing plot examples (when a satisfies (5),  $a \neq ((n/m)^2)/(k + (n/m))f_s)$ . (c) Diagrams showing plot examples (when  $a = ((n/m)^2)/(k + (n/m))f_s$ ).

following point P4, and is instead plotted after returning to time zero. Here, the superposition method is used. The superposition method involves aligning the time position of point P5 with the time position of point P1, as shown in Fig. 1(b). When the time position of point P5 is aligned to the time position of point P1, the second superposed waveform presents slight temporal deviation relative to the first waveform. In superposing the third and then fourth waveforms in the same manner, the degree of deviation increases gradually, and consequently the eye tends toward closing as the number of superposed waveforms increases. The only information required to realize this superposition is the value of n/m. Because the sampling clock can be set locally, kcan be determined arbitrarily within the range of natural numbers, and it can be said that a larger value is preferable for the reproduction of a complicated waveform.

First, we estimate the deviation that occurs when the time position of point P5 is aligned to the same time position as point P1. If a equals  $(1/4)f_s$ , point P5 is aligned to point P1 at a period of  $1/f_s$ . Consequently if superposition is performed in units of four points (or if superposition is performed based on a multiple of four), no deviation occurs in the superposing of the second waveform. However, a generally deviates slightly from  $(1/4)f_s$ because clock recovery is not used for setting  $f_c$ , as is apparent from the equation above used to define the range of a. Here, assuming that z is a real number that satisfies

$$k - 1 < z < k \tag{9}$$

then

$$a = \frac{\left(\frac{n}{m}\right)^2}{z + \left(\frac{n}{m}\right)} f_s \tag{10}$$

and because in the current case n/m = 1, z is a real number that satisfies  $3 < z \le 4$ ; therefore

$$a = \frac{1}{z+1} f_s. \tag{11}$$

Performing the calculations based on these facts shows that in comparison with a case where  $a = (1/4)f_s$ , the size of the deviation  $k\Delta T_{\text{step}}$ , which occurs when superposing waveforms, is

$$k\Delta T_{\rm step} = \frac{k-z}{zf_s} \tag{12}$$

where  $\Delta T_{\text{step}}$  is the time difference of the sampling time interval between when a satisfies (4) and when a satisfies (10). The value of  $k\Delta T_{\text{step}}$  becomes the deviation of each superposing waveform. In other words, as the waveforms are superposed a second and a third time, and so on, each waveform deviates by an additional  $k\Delta T_{\text{step}}$  in the time domain. Once the total deviation equals half the size of a timeslot which is the reciprocal of  $f_s$ , the eye diagrams become completely closed, and as such this is the upper limit for deviation. If the number of sampled points to be measured at a time is deemed  $N_{\text{samp}}$ , and the number of superposition is deemed j, then

$$kj \le N_{\text{samp}}$$
. (13)

Accordingly, if the total accumulated deviation is deemed  $Sum[k\Delta T_{step}]$ , then

$$\operatorname{Sum}[k\Delta T_{\text{step}}] = \frac{(k-z)j}{zf_s}.$$
(14)

Here, we consider measurement of a nonreturn-to-zero (NRZ) signal, whose rise and fall times after measurement using this method are equal to or less than half of  $1/f_s$ . Because the condition enabling eye opening evaluation  $\text{Sum}[k\Delta T_{\text{step}}]$  is equal to or less than half of  $1/f_s$ , if the number of sampled points is within a range which satisfies

$$\frac{(k-z)j}{zf_s} \le \frac{1}{2f_s} \tag{15}$$

that is

$$j \le \frac{z}{2(k-z)} \tag{16}$$

then the eye opening can be evaluated even if a local clock is used.

# *B. Influence of Sampling Clock Frequency Detuning and Signal Wander on High-Speed Sampling*

In the previous subsection, we described the setting of the local sampling clock frequency and the principle of eye diagram monitoring by considering a simple case when n/m = 1and k = 4 and by discussing small detuning of the sampling clock frequency. The detuning is the difference between a in (4) and that in (10), and we considered the case only when  $k - 1 < z \leq k$ . This case includes only when the sampling clock frequency detuning is small. In this subsection, we discuss the more general case when the sampling clock frequency detuning is larger.

As discussed in Subsection II-A, to obtain the open eye diagrams, all sampling points are plotted in time order, and superposed every k (or multiple of k) samples. If frequency detuning  $|\delta f| = 0, T_{\text{step}}$  satisfies (2). However, here we assume  $f_s$  is not accurately known at the signal quality monitoring circuit. For example, some knowledge of  $f_s$  such as the data format can be used, but the accurate bit rate cannot be known. Note that when timing extraction is not used,  $f_s$  is not accurately known at the signal quality monitoring circuit, so  $f_c$  must be decided independently as discussed in Subsection II-A. Moreover, the performance of the sampling clock source causes inaccuracy in the setting of  $f_c$ . However, high-speed sampling allows us to obtain open eye diagrams even under this condition, which means that the eye diagram can be evaluated as shown in the following theoretical evaluation. We assume frequency detuning  $\delta f$  due to the inaccuracy in determining  $f_s$  and/or  $f_c$ . These inaccuracies in  $f_s$  and/or  $f_c$  cause (2) and (3) to fail.

The time shift of sampling time interval  $\Delta T_{\text{step}}$  due to  $\delta f$  is expressed by using  $\delta f$  as follows:

$$\Delta T_{\text{step}} = \frac{1}{f_c} - \frac{1}{f_c + \delta f}.$$
(17)

When  $|jk\Delta T_{\text{step}}|$  is  $1/(2f_s)$  or less, the open eye diagram is constructed. Therefore, the following condition must be satisfied.

$$f_c \ge \sqrt{2f_s jk|\delta f|} \tag{18}$$

where  $jk = N_{\text{samp}}$  (j and k are natural number).

For example, when  $f_s$  is 10 Gb/s and the frequency detuning  $|\delta f|$  is 20 ppm (200 kHz),  $N_{samp}(\sim jk)$  is limited to 250 and the requirement of  $f_c$  is 1 GHz or more. In other words, if the sampling clock rate is in the order of 1 GHz, our measurement circuit allows inaccuracy in the setting of  $f_s$  and/or  $f_c$  to the level of  $\pm 200$  kHz to capture the open eye diagrams. Therefore, the high-speed asynchronous OE sampling [15] enables us to realize simple *Q*-factor monitoring without complicated software calculations as are demanded with the use of the periodogram [14]. If  $|\delta f|$  can be reduced by obtaining more accurate information concerning signal bit rate  $f_s$  or by sweeping and adjusting sampling clock rate  $f_c$ , the order of the sampling clock rate can be reduced and  $N_{samp}$  can be increased, as long as the influence of the signal wander is negligible based on the following discussion.

Signal wander is sometimes estimated from the group delay due to a change in the transmission fiber caused by temperature fluctuations. When the total sampling number is  $N_{\rm samp}$ points, the transmission fiber length is L m, temperature change is  $\delta T^{\circ}$ C/s, and the group delay coefficient of optical fibers is  $\alpha$ 



Fig. 2. Block diagrams of signal quality monitoring circuit using asynchronous OE sampling.

ps/m/°C. The total group delay per total sampling time  $\Delta t_{\rm wander}$  satisfies

$$\Delta t_{\text{wander}} = \alpha \frac{N_{\text{samp}}}{f_c} L \delta T.$$
(19)

For example, when  $\alpha$  is 0.2 ps/m/°C (measured value),  $N_{\rm samp}$  is 250, L is  $320 \times 10^3$  m,  $\delta T$  is  $0.5 \times 10^{-3}$  °C/s (20 °C per 12 h),  $f_c$  is approximately 1 GHz, and  $\Delta t_{\rm wander}$  is approximately 8 ×  $10^{-6}$  ps, which is sufficiently small to measure the open eye diagrams without timing extraction.

### III. SIGNAL QUALITY MONITORING CIRCUIT USING OPTOELECTRICAL SAMPLING

The optical signal quality monitoring circuit consists of an OE sampling module, an internal clock source, an electrical pulse generator, an O/E converter, and a signal processing circuit as shown in Fig. 2. OE sampling means optical gating with electrical pulses. The repetition rate of the electrical pulses is approximately 100 MHz or 1 GHz. An EA modulator is used as the OE sampling module. The EA modulator and electrical pulse generator are relatively small and simple compared to conventional optical sampling components or electrical high-speed sampling modules. In the conventional electrical sampling case, the O/E converter bandwidth should be wider than that of the signal bit rate. On the other hand, in the OE sampling method, the signal is optically sampled at a repetition rate lower than the signal bit rate. Therefore, the O/E converter bandwidth is narrower than the signal bit rate. The signal processing circuit analyzes the sampled signal to determine the Q-factor at fixed timing phase  $t(Q_t)$ , and estimates the BER.

Using the aforementioned technique, we constructed an optical signal quality monitoring prototype. A polarization-independent EA modulator with a 40-GHz bandwidth was used to achieve polarization-independent operation. Time resolution is less than 24 ps when the OE sampling repetition rate is 100 MHz, which is suitable for 10 Gb/s optical signals. The time resolution can range up to 8 ps when the OE sampling repetition rate is 1 GHz. In this case, the signal bit rate can range up to 40 Gb/s. In our measurement circuit, the bandwidth of the signal processing circuit is not sufficient to deal with 8 ps time resolution, so the experiment is performed using a 10 Gb/s optical signal and 24 ps time resolution. We also measured the wavelength dependence of the Q factor. The bandwidth allowing a 2-dB decrease from the maximum Q-factor value was 40 nm (from 1543 to 1583 nm). This range was limited

TABLE I SPECIFICATIONS OF SIGNAL QUALITY MONITORING CIRCUIT

Parameters	Measured value
Sampling rate	100 MHz to 1 GHz
Time resolution	< 24 ps
Signal bit rate	< 10.7 Gbit/s
Wavelength range	>40 nm (1543-1583 nm)
Available input power	-5.0 to +5.0 dBm
Polarization dependence	< 1.0 dB

by the characteristics of the EA modulator used. By shifting the center wavelength to 1550 nm, the entire C-band can be covered. The major specifications are summarized in Table I.

The technical point here is that the EA modulator and electrical pulse generator achieve high-speed sampling with a high degree of time resolution. Moreover, they are small and relatively cost effective compared to conventional optical sampling components or an electrical high-speed sampling module. The O/E converter uses an avalanche photo diode with a 2.5-GHz bandwidth. Since the signal is sampled optically, the requirements for the O/E converter bandwidth are not so strict compared to the electrical sampling case, and it is possible to measure exact waveforms without ringing of wide-bandwidth O/E converters. At the signal processing circuit, the sampled signal is calculated and the Q factor at fixed timing t ( $Q_t$ ) is estimated [15]. The graphical user interface of our prototype is shown in Fig. 3.

#### IV. EXPERIMENT AND DISCUSSION

#### A. $Q_t$ Monitoring

Parameter  $Q_t$  is estimated from the open eye diagrams captured by the asynchronous sampling aforementioned. An example of the eye diagrams, the amplitude histograms at fixed timing phase t, and  $Q_t$  are shown in Fig. 3. Parameter  $Q_t$  is defined by

$$Q_t = \frac{|\mu_1 - \mu_0|}{\sigma_1 + \sigma_0} \tag{20}$$

where  $\mu_i$  and  $\sigma_i$  are the mean and standard deviations of the mark (i = 1) and space (i = 0) level distributions of the amplitude histograms, respectively. The midpoint of the timing phase between the two white lines in Fig. 3 is t and the sampling points between the two white lines are used in the estimation.

Fig. 4 shows the asynchronous eye diagrams when the detuning of sampling frequency  $\delta f$  is 6 kHz. Both the 10-Gb/s NRZ (left figures) and RZ (right figures) optical signal (40 ps pulse width) are measured. The eye diagrams at the top of Fig. 4 represent when the total number of sampling points  $N_{\rm samp}$  is 1000 points. The subsequent sets of figures are for  $N_{\rm samp} = 2000, 4000, 8000$ , and 16 000 points. For the NRZ signal,  $N_{\rm samp} = 8000$  seems to be the limit to evaluate  $Q_t$ . Whereas, the rise and fall time of the NRZ signal at the measurement circuit is approximately half of  $1/f_s$ , so (18) can be applied to the eye diagram. Therefore, the limit of  $N_{\rm samp}$  becomes  $N_{\rm samp} \leq 8300$ , where  $f_c \sim 1$  GHz (time resolution



Fig. 3. Measured eye diagrams of 10 Gb/s NRZ optical signal and amplitude histograms at fixed timing phase t.



Fig. 4. Asynchronous eye diagrams when detuning of sampling frequency  $\delta f$  is 6 kHz for (Left) 10 Gb/s NRZ signal, (Right) 10 Gb/s RZ signal: total sampling points  $N_{\rm samp}$  is changed [1000 points (top), 2000, 4000, 8000, 16 000 (bottom)].



Fig. 5. Relationship between  $Q_t$  and  $N_{\text{samp}}$  when detuning of sampling frequency  $\delta f$  is 6 kHz for 10 Gb/s NRZ signal (Circles) and 10 Gb/s RZ signal (Crosses).  $Q_t$  is normalized by the values when  $N_{\text{samp}} = 1000$ .

~24 ps),  $|\delta f| \sim 6$  kHz and  $f_s = 10$  Gb/s, and this is consistent with the results of the NRZ signal in Fig. 4. The relationship between  $Q_t$  and  $N_{\rm samp}$  is shown in Fig. 5. For the NRZ signal,  $Q_t$  starts to fall when  $N_{\rm samp}$  is over 5000. At the point when  $N_{\rm samp}$  is 8000,  $Q_t$  is slightly reduced. This is because sampling points for calculating  $Q_t$  are obtained from the area of  $1/5 \times T_{\rm slot}$  (time slot) and some cross points are considered (see next subsection).

On the other hand, the situation of the RZ signal is different from the NRZ signal. Because the RZ signal has a very narrow mark level distribution (that is, the time region of the mark level is very small), the limit of  $N_{\text{samp}}$  when  $|\delta f| \neq 0$  becomes smaller than that for the NRZ signal. In Fig. 4,  $N_{\text{samp}} = 2000$ 



Fig. 6. Sampling data points used for  $Q_t$  evaluation.

seems to be the upper limit of the total number of sampling points, and this is 1/4 that of the NRZ signal. The same result is shown in Fig. 5. This factor depends on the pulse width of the RZ optical signal and the time resolution of the signal quality monitoring circuit.

If we need more sampling points than the limit to evaluate  $Q_t$ , we can choose two provisions. One is to sweep  $f_c$  to reduce the  $|\delta f|$  as it approaches 0. The other is to repeat  $N_{\text{samp}}$  sampling several times but less than the limit, and superimpose the eye diagrams arranging the maximum eye opening phase into the same time phase.

#### B. $Q_t$ Measurement Reliability

The measurement reliability means whether the  $Q_t$  value is uniformly evaluated when the optical signal quality does not change. This characteristic is represented by the parameter of the variation of multiple measurements.

The variations of the measured  $Q_t$  and Q are defined as  $\Delta Q_t$ and  $\Delta Q$ , respectively, and the linear fitting slope of  $Q_t$  versus Q is defined as slope, where  $\Delta Q_t, \Delta Q$ , and slope are the parameters of measurement reliability. As discussed in [3], these parameters are easily recognized and  $\Delta Q$  becomes

$$\Delta Q = \frac{\Delta Q_t}{\text{slope}}.$$
(21)

The measurement reliability depends on  $N_{\text{samp}}$  of the signal quality monitoring circuit. Fig. 7 shows the dependence of the variation of multiple measurements on sampling data points used in the  $Q_t$  evaluation. The sampling points used for the  $Q_t$ calculation are now set to the points in one-fifth of time slots  $T_{\text{slots}}$  (Fig. 6). Since all sampling points are plotted in time order and are superposed on every time slot (which equals k samples), the number of sampling points in  $1/5 \times T_{\text{slot}}$  equals  $1/5 \times N_{\text{samp}}$ . The vertical axis shows the standard deviation of 10 measurement points, which pertain to  $\Delta Q_t$  in (21).

The more the number of samplings,  $N_{\text{samp}}$ , increases, the lower the standard deviation of the 10 measurement points becomes. For the  $Q_t$  evaluation technique, the value of the *slope* is expected to be one. So we can design parameter  $N_{\text{samp}}$  from (21) and Fig. 7. When the required value of  $\Delta Q$  is less than 0.60, which corresponds to the difference in BER between  $10^{-10}$  and  $10^{-9}$ ,  $\Delta Q_t$  must also be less than 0.60. Parameter  $\Delta Q_t$  is defined as 2 × (standard deviation), the permitted standard devia-



Fig. 7. Dependence of the standard deviation for ten measurement points of  $Q_t$  on  $N_{\text{samp}}$ : 10 Gb/s NRZ signal, Q = 16 dB (BER ~  $10^{-10}$ ).



Fig. 8. The relationship between  $Q_t$  and Q for 10 Gb/s NRZ signal.

tion value is less than 0.30. The  $N_{\text{samp}}$  value to maintain the measurement reliability is defined from Fig. 7 as more than 25 000 points.

#### C. $Q_t$ Measurement for Simple BER Estimation

We confirm the applicability of the signal quality monitoring circuit to the BER estimation. Parameter  $Q_t$  is obtained by using the procedure described in the previous section, and parameter Q is derived from the measured BER using the Gaussian assumption. We set t at the time when the measured eye diagram is the most widely open. In regard to local sampling clock frequency  $f_c$ , we sweep the value and adjust to  $|\delta f| = 0$ . The values of  $N_{\text{samp}}$  are set to 30 000 based on the discussion in the previous section.

Fig. 8 shows the relationship between  $Q_t$  and Q for 10 and 40 Gb/s NRZ optical signals at different signal optical signal-tonoise ratio (OSNR) values. Good relationships are recognized in the figure, and the slope of the relationship equals one, regardless of the signal bit rate. Note that the values of  $Q_t$  basically equal those of Q. This means that it is possible to discern the BER value directly if we estimate  $Q_t$ . For instance, when the measured  $Q_t$  value is 16.4 for a 10 Gb/s optical signal, the BER of the signal is recognized to be  $10^{-10}$ .

The largest Q value we measured is 16.4 dB, which corresponds to the BER of  $10^{-10}$ . Lower BER measurement takes a

very long time, so it is difficult to estimate the upper limit of the applicable region of the method. However, since the  $Q_t$  measurement is sensitive up to 20 dB (see Fig. 3) it is expected that the BER estimation method using the signal quality monitoring circuit can be applied to Q = 20 dB, which corresponds to the BER of  $10^{-24}$ .

#### V. SUMMARY

We presented a discussion concerning a simple eye diagram measurement using asynchronous sampling. We examined the requirement for sampling clock frequency used locally. We also introduced a signal quality monitoring circuit that uses high-speed asynchronous OE sampling, and experimentally confirmed its ability to estimate the BER for 10-Gb/s NRZ signals. We used a fixed timing Q-factor  $(Q_t)$  evaluation procedure that uses open eye diagrams captured by asynchronous sampling. This technique and circuit will form a powerful solution to the performance monitoring requirements of future optical networks.

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